File 875.4

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UNITED STATES ENVIRONMENTAL PROTECTIC... AGENCY WASHINGTON, O.C. 20160

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TO: Richard L. O'Connell, Director, Enforcement Division, Region IX

FROM: Deputy Assistant Administrator for Water Enforcement

SUBJECT: Ability of States to Modify or Enforce Permits Issued

During Period of Interim Authorization

issue

The question has been raised as to whether the State of Hawaii has legal authority to (1) modify or (2) enforce any of the six permits issued by Hawaii during the section 402(4)(5) period of interim authorization. In my opinion, there is no question that Hawaii may both modify (subject to EPA's section 402(d) right to object) and enforce its interim permits.

DISCUSSION

On February 5, 1973. Havail was granted interim authorization by the Administrator to issue NPDES permits under section 402(a)(5) of the FNFCA. The legal basis for the issuance of such permits would be state law. The effect of the Administrator's authorization was to make properly-issued state permits valid as NFDES permits meeting the requirements of section 402.

STATE MODIFICATION OF INTERIM PERMITS

If state law so provides, Hawaii may modify its state-issued interim permits. Of course, any such modification is subject to approval by EPA as provided by section 402(d). Any such modification which receives EPA approval or for which EPA has waived its approval rights is valid for the purposes of section 402. Should EPA object to the modification, the modified permit would be valid under state law but would not be valid as an HFDES permit. In the latter case, for the purposes of section 402, the parmittee would still be subject to the original permit.

STATE ENFORCEMENT OF INTERIM PERMITS

Similarly, if state law so provides, Hawaii may enforce its interim NYOES permits. There is no reason why the six permits issued as NYOES permits by Hawaii during the interim authorization period should be any less enforceable than any other Hawaii state permit. Certainly the fact that Hawaii no longer has the authority to issue interim permits does not preclude Hawaii from enforcing its interim permits duly issued. To assert otherwise is to make the interim permit a license to pollute as the permittee apparently would be immune from prosecution under state law.

Richard H. Johnson

cg: Mr. Robert Taylor Assistant Attorney General State of Hawaii State Capitol Honolulu, Hawaii 96813